

DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES

SOCIAL SERVICES BLOCK GRANT

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FY 2012 Proposed Appropriation Language

**ADMINISTRATION FOR CHILDREN AND FAMILIES
Social Services Block Grant**

For making grants to States pursuant to section 2002 of the Social Security Act,
\$1,700,000,000: Provided, That notwithstanding paragraph (B) of section 404 (d)(2) of such Act, the
applicable percent specified under such subparagraph for a State to carry out State programs pursuant to
title XX of such Act shall be 10 percent.

ADMINISTRATION FOR CHILDREN AND FAMILIES
Social Services Block Grant

Authorizing Legislation

| | FY 2011 Amount Authorized | FY 2011 Annualized CR | FY 2012 Amount Authorized | FY 2012 Budget Request |
|--|---------------------------------|-----------------------------|---------------------------------|------------------------------|
| Social Services Block Grant [Section 2001 of the Social Security Act] | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 |
| Health Profession Opportunity Grants [Section 2008 of the Social Security Act] | 85,000,000 | 85,000,000 | 85,000,000 | 85,000,000 |
| Total request level | | \$1,785,000,000 | | \$1,785,000,000 |

ADMINISTRATION FOR CHILDREN AND FAMILIES
Social Services Block Grant

Appropriations History Table

| <u>Year</u> | <u>Budget Estimate to Congress</u> | <u>Appropriation</u> |
|--|--|--------------------------|
| 2003 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| 2004 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| 2005 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| 2006 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| <i>Hurricane Relief</i> | | 550,000,000 ¹ |
| Total | | 2,250,000,000 |
| 2007 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| 2008 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| <i>Disaster Assistance Funds</i> | | 600,000,000 ² |
| Total | 1,700,000,000 | 2,300,000,000 |
| 2009 | | |
| Appropriation | 0 | 1,700,000,000 |
| 2010 | | |
| Appropriation | 1,700,000,000 | 1,700,000,000 |
| <i>Pre-appropriated Health Profession Opportunity Grants</i> | 85,000,000 ³ | 85,000,000 |
| Total | 1,785,000,000 | 1,785,000,000 |
| 2011 | | |
| Appropriation | 1,700,000,000 | |
| <i>Pre-appropriated Health Profession Opportunity Grants</i> | 85,000,000 ³ | |
| Total | 1,785,000,000 | |

¹ As part of the Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act, 2006, Congress provided \$550 million in SSBG funds to be directed to states impacted by hurricanes in the Gulf of Mexico.

² The Consolidated Security, Disaster Assistance, and Continuing Appropriations Act, 2009 (P. L. 110-329) included \$600 million for SSBG to help states affected by Presidentially declared major natural disasters in 2008.

³ Of the \$85 million appropriated for this program, \$5 million was delegated to HRSA for the Training and Certification Program.

| <u>Year</u> | Budget Estimate to <u>Congress</u> | <u>Appropriation</u> |
|---------------------------|--|----------------------|
| 2012 | | |
| Appropriation | 1,700,000,000 | |
| <i>Pre-appropriated</i> | | |
| <i>Health Profession</i> | 85,000,000 ³ | |
| <i>Opportunity Grants</i> | | |
| Total | 1,785,000,000 | |

ADMINISTRATION FOR CHILDREN AND FAMILIES
Social Services Block Grant

Amounts Available for Obligation

| | FY 2010 <u>Actual</u> | FY 2011 <u>Current Law</u> | FY 2012 <u>Estimate</u> |
|--|--------------------------|-------------------------------|----------------------------|
| Annual, B.A. | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 |
| Pre-Appropriated, B.A. | 85,000,000 | 85,000,000 | 85,000,000 |
| Subtotal, Net Budget Authority | \$1,785,000,000 | \$1,785,000,000 | \$1,785,000,000 |
| Subtotal, Adjusted Budget Authority | \$1,785,000,000 | \$1,785,000,000 | \$1,785,000,000 |
| Total Obligations | \$1,785,000,000 | \$1,785,000,000 | \$1,785,000,000 |

Budget Authority by Activity

| | FY 2010 <u>Actual</u> | FY 2011 <u>Current Law</u> | FY 2012 <u>Estimate</u> |
|--------------------------------------|--------------------------|-------------------------------|----------------------------|
| Social Services Block Grant | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 |
| Health Profession Opportunity Grants | 85,000,000 | 85,000,000 | 85,000,000 |
| Total, Budget Authority | \$1,785,000,000 | \$1,785,000,000 | \$1,785,000,000 |

ADMINISTRATION FOR CHILDREN AND FAMILIES
Social Services Block Grant

Justification

| FY 2010 Enacted | FY 2011 Current Law | FY 2012 Estimate | FY12 change from FY11 Current Law |
|--------------------|------------------------|---------------------|--------------------------------------|
| \$1,785,000,000 | \$1,785,000,000 | \$1,785,000,000 | \$0 |

Authorizing Legislation – Sections 2001 and 2008 of the Social Security Act

2012 Authorization \$1,700,000,000;
\$85,000,000 in pre-appropriated funds for Health Profession Opportunity Grants

Allocation Method Formula & Competitive Grants

General Statement

The goals of the Social Services Block Grant (SSBG) are to reduce or eliminate dependency; achieve or maintain self-sufficiency for families; help prevent neglect, abuse or exploitation of children and adults; prevent or reduce inappropriate institutional care; and secure admission or referral for institutional care when other forms of care are not appropriate. SSBG serves low-income children and families, people with disabilities, and the elderly with documented need. The program provides state and local flexibility in allocating federal funds and enables states to target populations that might not otherwise be eligible for services needed to remain self-sufficient and economically independent.

Program Description and Accomplishments – SSBG is an appropriated entitlement program. SSBG funds are distributed to the 50 states and the District of Columbia based on each state's population relative to all other states. Distributions are made to Puerto Rico, Guam, American Samoa, the Virgin Islands, and the Commonwealth of the Northern Marianas based on the same ratio allotted to them in 1981 as compared to the total 1981 appropriation. There are no matching requirements.

On March 23, 2010, President Obama signed into law the Patient Protection and Affordable Care Act (The Affordable Care Act), P.L. 111-148. Section 5507 amended title XX of the Social Security Act by adding Section 2008, Health Profession Opportunity Grants (HPOG). These demonstration projects support the establishment and maintenance of training, education, and career advancement programs to address health care professions workforce needs. In FY 2010, HHS awarded grants to 32 organizations across 23 states. Health Professional Opportunity Grantee organizations consist of states, one tribal council, community colleges (including four tribal colleges), local workforce investment boards, and other community based organizations. The grant project periods are for five years. All grantees are required to coordinate with the state agency responsible for administering the state TANF program; the local workforce investment board; the state workforce investment board; and the state apprenticeship agency. Grantees are also encouraged to coordinate with other local strategic partners. HPOG funds are used to provide training in a variety of high-demand health related occupations, including nursing, medical billing and coding, medical laboratory technology, and health information technology. Individuals eligible to receive education and training through these demonstrations include TANF recipients receiving assistance under the state TANF program and other low-income individuals. Program participants may also receive a range of supportive services, such as child care, case management, and transportation. HHS is also implementing a multi-pronged evaluation to assess the success of HPOG projects. The funding for the demonstration projects is pre-appropriated through FY

2014. The full authorization level is \$85 million, however in FY 2010 through FY 2012, \$5 million is set aside in statute for the development of training and certification programs for personal or home care aides. The authority for operating the program for personal or home care aides has been delegated to HRSA, the agency with specialized expertise in this area. Beginning in FY 2013, the full \$85 million will be available to the HPOG program. The additional funding will support activities based on our assessment of strategic and programmatic needs.

SSBG underwent a program assessment in CY 2005. The assessment cited the program's lack of a national performance measurement system as a concern. In response to this assessment, the Office of Community Services (OCS) has implemented an accountability measure that is designed to decrease the percentage of SSBG funds identified as "administrative costs" in state post-expenditure reports. In FY 2009, the program decreased administrative costs as a percent of total costs to three percent, a significant improvement over the FY 2004 baseline of 10 percent and besting the FY 2009 target by six percentage points. This reduction in administrative costs allowed a greater percentage of funding to be expended for direct services.

SSBG has a unique structure as a block grant that allows for provision of a diverse array of services at the discretion of the state grantees. States have flexibility to determine the services and activities to be supported with grant funds, so long as those services and activities are targeted to the five goals identified in the statute: 1) to prevent, reduce, or eliminate dependency; 2) to achieve or maintain self-sufficiency; 3) to prevent neglect, abuse, or exploitation of children and adults; 4) to prevent or reduce inappropriate institutional care; and 5) to secure admission or referral for institutional care when other forms of care are not appropriate. Trend data compiled in the SSBG Annual Reports indicates that states often use their SSBG grants to supplement discrete activities and categorical grant programs for which there are identifiable and approved measures, as associated with those statutory goals. For example, SSBG funds are included in outcome measures for Child Care Development Block Grant and Child Welfare Services (Promoting Safe and Stable Families, Foster Care) in other ACF component programs. ACF has been re-examining measurement of success for SSBG based on recommendations from the CY 2005 program assessment. Based on this process, ACF has added a new performance measure to compare estimated state expenditures as reported on the pre-expenditure report with actual expenditures as reported on the post-expenditure report. This indicator is a measure of effective planning by the states of their capacity to use SSBG funds as intended. The expectation is that this measure will result in more rigorous planning efforts on the part of states.

Budget Request – The FY 2012 request for the Social Services Block Grant program is \$1,785,000,000, the same as the FY 2010 enacted level. This request will provide the same level of funding to states as in FY 2011. Additionally, this request includes \$85 million in pre-appropriated funds for Health Profession Opportunity Grants which will continue to support 32 grants.

In FY 2012, SSBG expects to keep administrative costs to less than six percent through continued technical assistance and working with grantees to appropriately identify expenditures that may be miscategorized as administrative costs to other activities and services. In FY 2012, ACF also plans to establish baseline data for the newly proposed measure of pre- versus post-expenditure spending reports using FY 2011 data.

Outputs and Outcomes Table

| Measure | Most Recent Result | FY 2010 Target | FY 2011 Target | FY 2012 Target |
|---|---|-----------------------|-----------------------|-----------------------|
| <u>21A</u> : Decrease administrative costs as a percent of total costs. (<i>Efficiency</i>) | FY 2009: 3% (Target Exceeded) | 6% | 4% ¹ | 4% |
| <u>21B</u> : Decrease the percentage of variance between projected expenditures and recipients, by service for each state, and actual expenditures and recipients. (<i>Developmental Outcome</i>) | TBD | Pre-Baseline | Set Baseline | TBD |
| <u>21i</u> : Number of individuals receiving services funded by SSBG. (<i>Output</i>) | FY 2009: 22.6 million (Historical Actual) | N/A | N/A | N/A |
| Project Level Funding (\$ in millions) | N/A | \$1,785 | \$1,785 | \$1,785 |

¹ The FY 2011 target for this measure has been updated to maintain rigor given the recent data trend.

Resource and Program Data
Social Services Block Grant

| | FY 2010 Actual | FY 2011 Current Law | FY 2012 Estimate |
|-------------------------------|-------------------|------------------------|---------------------|
| <u>Resource Data:</u> | | | |
| Service Grants | | | |
| Formula | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 |
| Discretionary | | | |
| Research/Evaluation | | | |
| Demonstration/Development | | | |
| Training/Technical Assistance | | | |
| Program Support | | | |
| Total, Resources | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 |
| | | | |
| <u>Program Data:</u> | | | |
| Number of Grants | 57 | 57 | 57 |
| New Starts | | | |
| # | 57 | 57 | 57 |
| \$ | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 |
| Continuations | | | |
| # | 0 | 0 | 0 |
| \$ | \$0 | \$0 | \$0 |
| Contracts | | | |
| # | 0 | 0 | 0 |
| \$ | \$0 | \$0 | \$0 |
| Interagency Agreements | | | |
| # | 0 | 0 | 0 |
| \$ | \$0 | \$0 | \$0 |

Resource and Program Data
Health Profession Opportunity Grants

| | FY 2010 Actual | FY 2011 Current Law | FY 2012 Estimate |
|-------------------------------|-------------------|------------------------|---------------------|
| <u>Resource Data:</u> | | | |
| Service Grants | | | |
| Formula | | | |
| Discretionary | \$67,046,000 | \$67,046,000 | \$67,046,000 |
| Research/Evaluation | 9,973,000 | 10,000,000 | 10,000,000 |
| Demonstration/Development | | | |
| Training/Technical Assistance | | 2,104,000 | 2,080,000 |
| Program Support | 2,981,000 | 850,000 | 874,000 |
| Total, Resources | \$80,000,000 | \$80,000,000 | \$80,000,000 |
| | | | |
| <u>Program Data:</u> | | | |
| Number of Grants | 32 | 32 | 32 |
| New Starts | | | |
| # | 32 | 0 | 0 |
| \$ | \$67,046,000 | \$0 | \$0 |
| Continuations | | | |
| # | 0 | 32 | 32 |
| \$ | \$0 | \$67,046,000 | \$67,046,000 |
| Contracts | | | |
| # | 4 | 5 | 5 |
| \$ | \$12,897,000 | \$12,104,000 | \$12,080,000 |
| Interagency Agreements | | | |
| # | 0 | 0 | 0 |
| \$ | \$0 | \$0 | \$0 |

Notes:

1. Program Support – Includes funding for information technology support, staffing and associated overhead costs.
2. Total, Resources – Of the \$85 million appropriated for this program, \$5 million was delegated to HRSA for the Training and Certification Program.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES
ADMINISTRATION FOR CHILDREN AND FAMILIES**

FY 2012 MANDATORY STATE/FORMULA GRANTS

PROGRAM: Social Services Block Grant (CFDA #93.667)

| STATE/TERRITORY | FY 2010 Actual | FY 2011 CR | FY 2012 Request | Difference +/- 2010 |
|-------------------------------|---------------------------|-----------------------|----------------------------|--------------------------------|
| Alabama | \$25,919,267 | \$25,928,224 | \$25,928,224 | \$8,957 |
| Alaska | 3,815,657 | 3,846,101 | 3,846,101 | 30,444 |
| Arizona | 36,139,750 | 36,319,265 | 36,319,265 | 179,515 |
| Arkansas | 15,875,419 | 15,910,587 | 15,910,587 | 35,168 |
| California | 204,359,989 | 203,527,234 | 203,527,234 | -832,755 |
| Colorado | 27,462,425 | 27,668,480 | 27,668,480 | 206,055 |
| Connecticut | 19,466,287 | 19,373,246 | 19,373,246 | -93,041 |
| Delaware | 4,854,223 | 4,873,872 | 4,873,872 | 19,649 |
| District of Columbia | 3,290,478 | 3,301,976 | 3,301,976 | 11,498 |
| Florida | 101,902,043 | 102,078,238 | 102,078,238 | 176,195 |
| Georgia | 53,850,873 | 54,123,974 | 54,123,974 | 273,101 |
| Hawaii | 7,162,133 | 7,131,822 | 7,131,822 | -30,311 |
| Idaho | 8,472,124 | 8,511,862 | 8,511,862 | 39,738 |
| Illinois | 71,730,208 | 71,090,410 | 71,090,410 | -639,798 |
| Indiana | 35,453,736 | 35,368,495 | 35,368,495 | -85,241 |
| Iowa | 16,693,628 | 16,562,583 | 16,562,583 | -131,045 |
| Kansas | 15,579,326 | 15,521,265 | 15,521,265 | -58,061 |
| Kentucky | 23,736,181 | 23,755,410 | 23,755,410 | 19,229 |
| Louisiana | 24,523,177 | 24,735,353 | 24,735,353 | 212,176 |
| Maine | 7,319,242 | 7,259,147 | 7,259,147 | -60,095 |
| Maryland | 31,321,715 | 31,383,841 | 31,383,841 | 62,126 |
| Massachusetts + (Mass. Blind) | 36,127,446 | 36,307,200 | 36,307,200 | 179,754 |
| Michigan | 55,617,101 | 54,897,717 | 54,897,717 | -719,384 |
| Minnesota | 29,024,380 | 28,998,098 | 28,998,098 | -26,282 |
| Mississippi | 16,338,151 | 16,254,993 | 16,254,993 | -83,158 |
| Missouri | 32,867,386 | 32,970,258 | 32,970,258 | 102,872 |
| Montana | 5,378,780 | 5,368,720 | 5,368,720 | -10,060 |
| Nebraska | 9,915,539 | 9,892,977 | 9,892,977 | -22,562 |
| Nevada | 14,456,428 | 14,553,992 | 14,553,992 | 97,564 |
| New Hampshire | 7,315,645 | 7,293,695 | 7,293,695 | -21,950 |
| New Jersey | 48,273,924 | 47,948,654 | 47,948,654 | -325,270 |
| New Mexico | 11,032,637 | 11,066,135 | 11,066,135 | 33,498 |
| New York | 108,362,301 | 107,603,864 | 107,603,864 | -758,437 |
| North Carolina | 51,274,847 | 51,655,287 | 51,655,287 | 380,440 |
| North Dakota | 3,566,511 | 3,561,809 | 3,561,809 | -4,702 |

| STATE/TERRITORY | FY 2010 Actual | FY 2011 CR | FY 2012 Request | Difference +/- 2010 |
|---------------------------------|------------------------|------------------------|------------------------|------------------------|
| Ohio | 63,859,449 | 63,558,897 | 63,558,897 | -300,552 |
| Oklahoma | 20,250,826 | 20,302,524 | 20,302,524 | 51,698 |
| Oregon | 21,072,004 | 21,065,756 | 21,065,756 | -6,248 |
| Pennsylvania | 69,210,036 | 69,407,410 | 69,407,410 | 197,374 |
| Rhode Island | 5,842,179 | 5,799,434 | 5,799,434 | -42,745 |
| South Carolina | 24,906,826 | 25,116,211 | 25,116,211 | 209,385 |
| South Dakota | 4,471,164 | 4,473,339 | 4,473,339 | 2,175 |
| Tennessee | 34,553,581 | 34,669,953 | 34,669,953 | 116,372 |
| Texas | 135,253,294 | 136,462,292 | 136,462,292 | 1,208,998 |
| Utah | 15,213,991 | 15,333,082 | 15,333,082 | 119,091 |
| Vermont | 3,454,142 | 3,423,685 | 3,423,685 | -30,457 |
| Virginia | 43,194,640 | 43,405,019 | 43,405,019 | 210,379 |
| Washington | 36,412,425 | 36,695,999 | 36,695,999 | 283,574 |
| West Virginia | 10,088,093 | 10,020,495 | 10,020,495 | -67,598 |
| Wisconsin | 31,290,413 | 31,137,681 | 31,137,681 | -152,732 |
| Wyoming | 2,961,532 | 2,996,991 | 2,996,991 | 35,459 |
| Subtotal | 1,690,513,552 | 1,690,513,552 | 1,690,513,552 | 0 |
| American Samoa | 48,518 | 48,518 | 48,518 | 0 |
| Guam | 293,103 | 293,103 | 293,103 | 0 |
| Northern Mariana Islands | 58,621 | 58,621 | 58,621 | 0 |
| Puerto Rico | 8,793,103 | 8,793,103 | 8,793,103 | 0 |
| Virgin Islands | 293,103 | 293,103 | 293,103 | 0 |
| Subtotal | 9,486,448 | 9,486,448 | 9,486,448 | 0 |
| Total States/Territories | 1,700,000,000 | 1,700,000,000 | 1,700,000,000 | 0 |
| TOTAL RESOURCES | \$1,700,000,000 | \$1,700,000,000 | \$1,700,000,000 | \$0 |